The effect of the introduction of a Standardized Fitnessfor-Duty Evaluation of Commercial Truck Drivers on the incidence of Low Back Injuries and Workers' Compensation Cost

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Impact of the health issues in truck drivers on the society Nearly 15 million truck drivers (Saltzman & Belzer, 2007) Truckers remain both a highly vulnerable and a seriously underserved working population with the high prevalence of chronic health problems Low health care access and use (Layne, Rogers, &Randolph,2009; Reed & Skeeters Cronin, 2003; Solomon, Doucette, Garland, &McGinn, 2004)

Commercial Driver Medical Qualification

- The Motor Carrier Safety Act of 1935 granted the Interstate Commerce Commission (ICC) the authority to require medical certifications for CMV operators but not physical examinations
- A physical examination and Certificate of Physical Evaluation were not required until January 1, 1954
- The U.S. Department of Transportation (DOT) was created by an act of Congress in 1970
 - Since October 1999, motor carrier safety was transferred to the FMCSA which reports directly to the secretary of the DOT

Commercial Driver Medical Qualification

Initial criteria from June 7, 1939: Good physical and mental health Good eyesight Adequate hearing No addiction to narcotic drugs No excessive use of alcoholic beverages or liquors Tighter medical qualification standards were announced 30 years later, on June 7, 1969 New form was proposed in 1998 and the Final Rule was published on October 5, 2000

Medical Examination Report form

The form includes one page each for: Medical history Testing Recording of the physical examination Instructions to examiners, the role of the commercial driver, and inclusion of the advisory criteria bring the entire document to eight pages

Problems with the form

Over 15 % were incomplete
Over 40% had entries that were not legible
Data storage
Drivers, who did not meet the regulations and medical guidelines, were given DOT certificates
Previous DOT exam information not available for review

Drivers did not always give consistent information from one exam to the next

DOT form

DRIVER'S INFORMATION	Driver completes this	section. Social Securi		Birthdate	Age:	Sec	17 New			
Driver's Name (Last, First, Middle) Wayne, John		555-22-		10/04/1964	Age: 43	M N	✓ New □ Recertificatio		f Exam)5/07	
				MM/DD/YTTY		ĒF	Follow-up			
ddress	City, State, Zip Code		Work Tel:		Dr	Iver License	No.	License Class		
123 1st St	Springdale,Al	R 72762	Home Tel:			125468	54321		Issue	
			(479) 55	5-1111				other	AR	
HEALTH HISTORY Driver of	ompletes this section, but	t medical exa	miner is encou	raged to discuss w	lih					
es No			es No				Yes No			
Any liness or injury in the last 5 y				sease, emphysema	, asthm	a, chronic br		ting, dizziness		
Seizures, epilepsy	Inesses	L L		disease, dialysis					uses in breathing while piness, loud snoring	
medication		F	Cliver di					ke or paralysis	,	
Eye disorders dr impaned vision (Diabete	s or elevated blood	sugar c	ontrolled by:		ing or impaired i	hand, arm, root, leg,	
Ear disorders, loss of hearing or b Heart disease or heart attack; oth			diet					finger, toe		
medication	er carorovascular contai							Spinal injury or disease		
Heart Surgery (valve replacement	bypass, angloplasty, p	cemaker)	∏insu ∏Nervous	or psychiatric disc	rder, e.g	, severe		ular, frequent al		
High blood pressure medica	stion			cation				odic or habit for		
□ ☑ Muscular disease □ ☑ Shortness of breath		[Loss of	or altered conscio	usness			conc of figure for	ning creg use	
or any YES answer. Indicate onset date.	diagnosis, treating physic	ician's name a	and address, a	nd any current limi	ation. L	ist all medic	tions (including over-	the-counter med	dications) used	
gularly or recently.	_									
Comments: Test Comments dsjfhasduifh	aguik.									
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edioal Examiner's Comments on Heat	Driver's		st review and d	iscuss with the driv	er any "		s and potential hazard	is of medications	s, including	
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certify that the above information is com edical Examiner's Comments on Heat er-the-counter medications, while driving Comments: Test Comments	Driver's		st review and d	iscuss with the driv	er any "		s and potential hazard	is of medications	s, including	

DOT form page 2

TESTING (Medical Examiner completes Section 3 through 7)

Standard: At least 20/40 acuity (Snellen) in each eye with or without correction. At least 70° peripheral in horizontal meridian measured in each eye. 3. VISION The use of corrective lenses should be noted on the Medical Examiner's Certificate.

INSTRUCTIONS: When other than the Snellen chart is used, give test results in Snellen-comparable values. In recording distance vision, use 20feet as normal. Report visual acuity as a ratio with 20 as numerator and the smallest type read at 20feet as denominator. If the applicant wears corrective lenses, these should be worn while visual acuity is being tested. If the driver habitually wears contact lenses, or intends to do so while driving, sufficient evidence ofgood tolerance and adaptation to their use must be obvious. Monocular drivers are not qualified

Numerical readings must be provided.

ACUITY .	UNCORRECTED	CORRECTED	HORIZONTAL FIELD OF VISION
Right Eye	20/	20/	Right Eye -
Left Eye	20/	20/	Left Eye
Both Eyes	20/	20/	

Complete next line only if vision testing is done by an ophthalmologist or optometrist

Name of Ophthalmologist or Optometrist (print) Tel No. Date of Examination

License No./State of Issue

Corrective Lenses Nonocular Vision: 🗆 Yes 🗆 No

Standard: a) Must first perceive forced whispered voice > 5 ft., with or without hearing aid, or b) average hearing loss in better ear < 40 dB 4. HEARING Check if bearing aid used for tests.
 Check if hearing aid required to meet standard.

INSTRUCTIONS: To convert audiometric test results from ISO to ANSI,-14 dB from ISOfor 500 Hz, -10 dBfor 1, 000 Hz, -8.5 dBfor 2, 000 Hz. To average, add the readingsfor 3 frequencies tested and divide by 3.

Managed and share dimension and the second select

Systolic

Driver qualified if < 140/90 on

Blood Pressure

Pulse Rate

initial exam.

Numerical readings must be recorded.				Right Ear			Lett Ear		
a) Record distance from individual at which forced whispered voice can first be heard.	Right Ear Feet	Left Ear Feet	b) If audiometer is used, record hearing loss in decibels. (acc. to ANSI Z24.5-1951)	500 Hz	1 000 Hz	2000 Hz	500 Hz	1 000 Hz	2000 Hz
L				Average			Average	4	

5 BLOOD PRESSURE / PULSE RATE Numerical readings must be recorded.

READING	CATEGORY	EXPIRATION DATE	RECERTIFICATION
140-159/90-99	Stage 1	1 year	1 year if <1_40/90. One-time certificate for 3 months if 141-159/91-99.
160-179/100-109	Stage 2	One-time certificate for 3 months.	1 year from date of exam if <140/90
>180/110 -	Stage 3	6 months from date of exam if <140/90	6 months if < 1 40/90 -

Medical examiner should take at least 2 readings to confirm blood pressure.

Applicant can recognize and distinguish among traffic control signals and devices showing standard red, green, and amber colors?

Applicant meets visual acuity requirement only when wearing:

6 LABORATORY AND OTHER TEST FINDINGS Numerical readings must be recorded

SP. GR. Urinalysis is required. Protein, blood or sugar in the urine may be an indication for further testing to rule out any underlying medical problem. URINE SPECIMEN

PROTEIN BLOOD SUGAR

Signature

C Yes C No

Other Testing (Describe and record)

Regular

Irregular

Diastolio

DOT form page 3

7. PHYSICAL EXAMINATION

Height:

(inches) Weight:

(pounds)

The presence of a certain condition may not necessarily disqualify a driver, particularly if the condition is controlled adequately, is not likely to worsen or is readily amenable to treatment. Even if a condition does not disqualify a driver, the medical examiner may consider deferring the driver temporarily. Also, the driver should be advised to take the necessary steps to correct the condition as soon as possible particularly if the condition, if neglected, could result in more serious illness that might affect driving.

Check YES if there are any abnormalities. Check NO if the body system is normal. Discuss any YES answers in detail in the space below, and indicate, whether it would affect the driver's ability to operate a commercial motor vehicle safely. Enter applicable item number before each comment. If organic disease is present, note that it has been compensated for. See Instructions to the Medical Examiner for guidance.

BODY SYSTEM	CHECK FOR:	YES	NO	BODY SYSTEM	CHECK FOR :	YES	NO
1. General Appearance	Marked overweight, tremor, signs of alcoholism, problem drinking, or drug abuse.			7. Abdomen and Viscera	Enlarged liver, enlarged spleen, masses, bruits, hernia, significant abdominal wall muscle weakness.		
2. Eyes	Pupillary equality, reaction to light, accommodation, ocular motility, ocular muscle imbalance, extra ocular movement, nystagmus, exophthalmos, strabismus			8. Vascular System	Abnormal pulse and amplitude, carotid or arterial bruits, varicose veins.		
	uncorrected by corrective lenses, retinopathy, cataracts, aphakia, glaucoma, macular degeneration.			9. Genito-urinary System	Hernias.		
3. Ears	Middle ear disease, occlusion of external canal, perforated eardrum.			 Extremities-Limb impaired driver may be subject to SPE certificate if otherwise qualified. 	Loss or impairment of leg, foot, toe, arm, hand, finger. Perceptible limp, deformities, atrophy, weakness, paralysis, clubbing, edema, hypotonia. Insufficient grasp and prehension in upper limb to maintain steering wheel grip. Insufficient		
4. Mouth and Throat	Irremediable deformities likely to interfere with breathing or swallowing.				mobility and strength in lower limb to operate pedals properly.		
5. Heart	Murmurs, extra sounds, enlarged heart, pacemaker.			11. Spine, other musculoskeletal	Previous surgery, deformities, limitation of motion, tenderness.		
 Lungs and chest, not including breast examination 	Abnormal chest wall expansion, abnormal respiratory rate, abnormal breath sounds including wheezes or alveolar rales, impaired respiratory function, dyspnea, and cyanosis. Abnormal findings on physical exam may require further testing such as pulmonary test and/or x-ray of chest.			12. Neurological	Impaired equilibrium, coordination or speech pattern; paresthesia, asymmetric deep tendon reflexes, sensory or positional abnormalities, abnormal patellar and Babisnki's reflexes, ataxia.		

	□ Wearing corrective lenses
Note certification status here. See Instructions to the Medical Examiner for guidance.	□ Wearing hearing aid
□ Meets standards in 49 CFR 391.41; qualifies for 2 year certificate	Accompanied by a limb waiver or eye exemption
Does not meet standards	Skill Performance Evaluation (SPE) Certificate
Meets standards, but periodic evaluation required	Driving within an exempt intracity zone
Due to driver qualified only for:	□ Qualified by operation of 49 CFR 391.64
\Box 3 months \Box 1 year	
\Box 6 months \Box Other	Medical Examiner's Signature
	Medical Examiner's Name (print) KONSTANTIN V. BERESTNEV, M.D.
□ Temporarily disqualified due to (condition or medication):	Address: 4001 WAGON WHEEL RD, SPRINGDALE AR 72762
Return to medical examiner's office for follow up on	Telephone Number (479) 725-3000 to 49 CFR 391.43(h). (Driver must carry certificate when operating a commercial vehicle.
	the second

Introduction

A job is the sum of its parts Medical certification determinations are the responsibility of the medical examiner U.S. DOT form instructs examiners to sign the form only if it is determined that the driver is able to perform driving and nondriving tasks as may be required Improperly loaded or inspected vehicle could cause accidents and affect the driving public

Aside from driving for many hours at a time drivers tasks are:

load and unload freight crank dollies slide tandems pull 5th wheel pins couple and uncouple trailers secure loads with devices such as tarps or chains inspect the vehicle (pre- and post-trip)

Triad of Safety and Productivity with a Job-Matching Philosophy



If any one of the three points on the triangle are missing, accidents and injuries are more likely to occur. Employers can evaluate their safety culture and productivity needs against these three points.

Functional Testing/ Job matching

Employers are responsible for ensuring that only medically qualified drivers are operating CMVs

Employers use FCEs to assist in decisionmaking regarding appropriate job placement and return to work duty
Job placement through capacity measurement sends a strong message that the company cares about worker safety

Regulatory aspects

Matching a worker with the physical demands of their jobs complies with the Americans with Disabilities Act Amendments Act (ADAAA), the Age Discrimination in Employer Act and other regulations enforced by the Equal Employment Opportunity Commission
 When placing an employee, a disability may be more readily accommodated

Motor carrier's initiative

In 2003, a client trucking company incorporated a standardized fitness-for-duty evaluation of drivers in an effort to reduce the rate of low back injuries and associated workers' compensation costs

Prior to this standardized evaluation, the company was using their own "lift test", which included dangerous tasks for the applicants to perform, such as "600# barrel roll test", etc.

Goal of the RoadReady study

To evaluate the effectiveness of this intervention, which includes: Regular DOT physical examination and Comprehensive physical examination conducted by trained physical therapists (PT) specifically targeted at evaluating the lower back Questionnaires

- Manual muscle testing
- Functional testing

Web-based network of provider clinics was established DOT physicals done electronically 100% completion rate (DOT certificate can't be issued if the form is incomplete) The data is entered into, stored, and analyzed using web-based Road Ready applications

Electronic version of the form

ROAD PEADU MAIN MENU DOT Regulations: 49 CFR 391.41	Wayne, Jo Date of Exam: 11/06/20 Physical Examination 7. PHYSICAL EXAM	007 Instructions	and Stand	lard	L the Medical Examiner	ogoff AD	<u>)MIN</u>
Vision * Hearing Blood Pressure Lab Test Physical Signature Pages	The presence of a certain conc or is readily amenable to treat Also, the driver should be advis could result in more serious illr Check <u>YES</u> if there are any abl indicate, whether it would affec	ment. Even if a condi sed to take the neces ness that might affec normalities. Check <u>N</u> at the driver's ability t	tion does not ssary steps to t driving. <u>O</u> if the body o operate a c	disqualit correct system ommerci	Weight: er, particularly if the condition is controlled adequately, is not fy a driver, the medical examiner may consider deferring the c the condition as soon as possible particularly if the condition is normal. Discuss any YES answers in detail in the space l al motor vehicle safely. Enter applicable item number before nstructions to the Medical Examiner for guidance.	driver tempo n, if neglect below, and	orarily. ed,
Driver - Complete Examiner - Incomplete Supplemental Musculoskeletal Dynamic/Cardio Job Specific PREVIOUS EXAMS 10/5/2007 10/17/2007 10/18/2007	Body System 1. General Appearance 2. Eyes 3. Ears 4. Mouth and Throat 5. Heart 6. Lung and chest, not inclubreast examination		Yes 0 0 0 0 0 0 0 0	No ③ ④ ④ ④ ④ ④ ④ ④ ④ ④ ④ ④ ④ ④	Body System 7. Abdomen and Viscera 8. Vascular system 9. Genito-urinary system 10. Extremities - Limb impaired. Driver may be subject SPE certificate if otherwise qualified. 11. Spine, other musculoskeletal 12. Neurological	0	No (a) (b) (c) (c) (c) (c) (c) (c) (c) (c
Exam Search Record Correction Reporting Administration	Check for: Marked overweight, tre Comments	emor, signs of a	alcholism,	probl	em drinking, or drug abuse.		

Study population

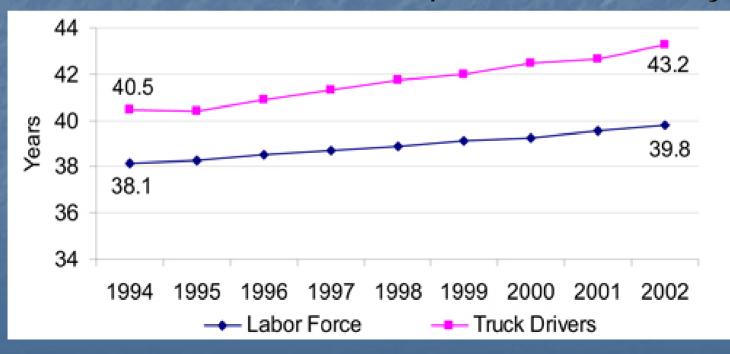
The cohort included all truck drivers employed by one large nationwide trucking company:

In 1999 – 10569 in 2000 – 11103
in 2001 – 11431 in 2002 – 12138
in 2003 – 11808 in 2004 – 12318
in 2005 – 12497 in 2006 – 12825

ages 20 to 89 with an average age in a 40-49 years old age group

Demographics

 Age - 20-89 in Road Ready database (avg.43.23)
 Average Age of the U.S. Labor Force and of Truck Drivers in the Transportation Industry



Gender and habits

Male drivers >95%
Female drivers <5%

- Estimated prevalence of smoking in truck drivers is 50.1%
- Nutrition/ Diet: needs may be met at roadside diners
- Exercises: inadequate

Often spend days to weeks away from home
Poor sleep habits

Body Mass Index Analysis

Age Group (Years)	≤25 Normal Range	26-29 Overweight	30-34 Obese	35-39 Severely Obese	≥40 Morbidly Obese	Totals
<20	0.00% <i>0</i>	0.00% <i>0</i>	0.00% <i>0</i>	0.00% <i>0</i>	0.00% <i>0</i>	0.00% <i>0</i>
20-29	27.72% <i>1953</i>	26.84% <i>1891</i>	19.32% <i>1361</i>	12.84% <i>905</i>	13.28% <i>936</i>	10.71% <i>7046</i>
30-39	18.95% <i>3541</i>	29.43% <i>5498</i>	24.61% <i>4599</i>	13.71% <i>2562</i>	13.29% <i>2484</i>	28.41% <i>18,684</i>
40-49	15.77% <i>342</i>	31.55% <i>6685</i>	26.72% <i>5662</i>	14.19% <i>3007</i>	11.76% <i>2492</i>	32.22% 2 <i>1,188</i>
50-59	15.94% <i>2274</i>	33.69% <i>4806</i>	27.68% <i>3948</i>	13.05% <i>1862</i>	9.63% <i>1374</i>	21.69% <i>14,264</i>
60-69	15.65% <i>652</i>	35.56% <i>1481</i>	28.45% <i>1185</i>	13.28% <i>553</i>	7.06% <i>294</i>	6.33% <i>4165</i>
70-79	19.13% <i>75</i>	37.76% <i>148</i>	26.02% <i>102</i>	12.24% <i>48</i>	4.85% <i>19</i>	0.60% <i>392</i>
80-89	0.00% <i>0</i>	50.00% <i>11</i>	36.36% <i>8</i>	13.64% <i>3</i>	0.00% <i>0</i>	0.03% <i>22</i>
ALL	18.01% 1,842	31.2% 20,520	25.64% 1 <i>6,865</i>	13.59% <i>8,940</i>	11.55% 7,599	100% <i>65,766</i>

Effect of BMI on Lifetime DM Risk

Overweight and especially obesity substantially increases lifetime risk of diagnosed diabetes There is a 37-1/2% lifetime risk for diabetes in the obese individual, BMI 30-35 (Diabetes Care 30:1562-1566, 2007) There is a 50.5% lifetime risk for diabetes in the very obese individual, greater than 35 BMI (Diabetes Care 30:1562-1566, 2007) Estimated lifetime prevalence of DM in our research population is 26% Self-reported rate of diabetes is 6.9%

DM and DOT physicals

Screening for diabetes – urine dipstick
Blood glucose or HbA1C – not required
Health history – limited, esp. with paper form

Current standards – lacking
Drivers awareness – poor
Motor carriers are forced to fill the gap

Sleep apnea

Obstructive sleep apnea (OSA) is a significant cause of motor vehicle crashes resulting in two to - sevenfold increased risk It is reported that 50-60% of drivers need to be screened for sleep apnea and about 25% of the driver population have sleep apnea (MCSAC and MRB Task 11-05: Recommendations for OSA **Regulatory Guidance**) The rate of drivers who answered "Yes" to a sleep disorder, pauses in breathing while asleep,

daytime sleepiness, or loud snoring, was 0.6% in RoadReady database

HTN and CAD

- Self-reported rate of HTN in Road Ready database was 14.57%
- Self-reported rate of heart disease was 1.8%
- Self-reported rate of heart surgery was 1.15%
- We know that the actual burden of CVD is much higher in this population

Methods (musculoskeletal exam)

Data collection

physical therapists assist the DOT medical examiner in conducting a more comprehensive physical examination

physical therapists are trained by Road Ready to conduct the exam enabling them to pass on their findings and recommendations to the examiner

the examiner makes a final determination of certification of the driver

PT portion of the exam include:

- a review of the applicant's medical history regarding the musculoskeletal system (specific questions regarding the spine include the following:
 - Have you ever had or do you now have any trouble with your neck or back?
 - Have you ever missed work because of a neck or back injury?
 - Have you ever had surgery on your neck or back?
 - Are you currently on any work restrictions?)

The therapist conducts a series of tests including:

- Posture and alignment
- Joint flexibility / ROM
- Muscle strength
- Joint and ligament integrity
- Balance and coordination
- Functional activities for the extremities and trunk

 Tests to identify conditions or deficits of the nervous system, rotator cuff, cervical and lumbar nerve roots, and the sacroiliac region

If significant limitations are noted in any of the above screening procedures, additional tests are conducted Additional testing include: sit and reach test testing for leg length discrepancy palpation of the back for tenderness and muscle guarding prone lying spring test of the spine performance of back extension

The findings of all tests are passed on to the DOT examiner for the final determination of certification status of the driver

The driver applicant is also tested for the ability to successfully perform job specific tasks as required by the transportation company

The Road Ready DOT physicals' data is gathered and stored via a Web-based Microsoft SQL server application The data used in this study was retrieved from this data management system Incidence rates of low back injuries and associated workers' compensation costs from 1999 to 2006 were determined

The incidence rates of low back injury per 1,000 employees gradually declined from 23.7 in 1999 to 11.0 in 2006

It was 13.2 per 1000 drivers in 2003, 54% decline

The incidence rates of upper back injury per 1,000 employees decreased from 2.2 in 1999 to 1.5 in 2006, 32% decline

It rose to 2.5 per 1000 drivers in 2003

The workers' compensation costs associated with the upper back injuries increased from \$11,217.26 in 1999 to \$43,896.07 in 2003 and went down to \$8,250.44 in 2006
 The average annual workers' compensation

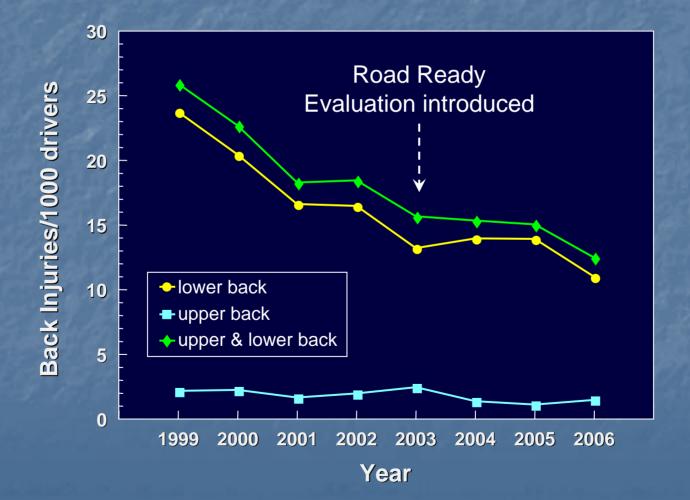
costs associated with the upper back injuries during the period 1999 - 2002 was \$16,428.68
It was \$19,762.85 during the period 2003 - 2006

The workers' compensation costs associated with the low back injuries increased from \$248,924.26 in 1999 to \$277,104.23 in 2003 and fell down in the period from 2003 to 2006 to \$136,159.10 level in 2006, a 45% reduction from year 1999

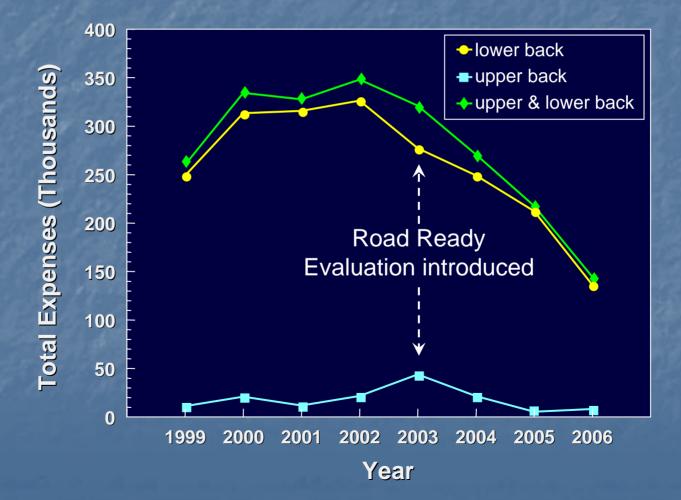
The average annual worker's compensation costs associated with the low back injuries during the period 1999 - 2002 was \$301,066.15 and during the period 2003 - 2006 was \$238,450.49

There was a 54% reduction in low back pain incidence with an associated 45% decrease in workers' compensation costs from year 1999 to 2006

Results (incidence rates 1999 - 2006)



Results (workers' compensation costs associated with back injuries from 1999 to 2006)



- Low back injuries were reduced in number after the intervention as were workers' compensation costs
- Additional information:
 - The review of the drivers' health history shows that there were less than 2% of the drivers who reported a past history of a spinal injury and less than 1% of the drivers who reported chronic low back pain
 Less than 3% of the physicals had an abnormality on the musculoskeletal exam noted by the DOT examiners

On the other hand, 10% of the drivers who failed their physical exams failed it due to a spine condition found during the musculoskeletal portion of the Road Ready exam performed by the physical therapists

35% to 45% of the drivers who failed a Road Ready exam did so due to a musculoskeletal condition found by the Road Ready-trained physical therapists

According the Bureau of Labor Statistics, the incidence rate of nonfatal occupational injuries affecting low back was 80.5/10,000 workers in 1999 and declined to 36.4/10,000 workers in 2006, 55% reduction in the incidence rate

There is no data available for the total workers' comp costs associated with the back injuries in transportation industry in 1999 or 2006

Arkansas Workers' Compensation Commission biennial reports show increase in medical only expenditures from \$18,554,726.61 in 2003 to \$22,178,582.11 in 2006

The BLS reports that one of the variables affecting the cost, median days away from work for truck drivers, increased from 9 days in 1999 to 14 days in 2006

This intervention appears to be effective in reducing low back pain associated workers' compensation costs

- It appears that there is a steady gradual decline in the incidence of back injuries unrelated to the intervention
- The reduction in associated workers' compensation costs since the introduction of the program in 2003 appears to be brought by the intervention

It can be explained by that the more in-depth musculoskeletal examination by the physical therapists performing the FCE portion of the exam can reduce the severity of the subsequent back injuries and medical expenses associated with the injuries

This was a descriptive study Perhaps an analytical research will account for the presence of potential other factors contributing to the observed decrease, among those could be: the Hawthorne effect changing company's administrative policies

It's been speculated that truck drivers have a 10- to 15-year lower life expectancy than the average American male who lives to age 76 We see in our database that truck drivers are getting older Morbid obesity is prevalent in this population They underreport and we underestimate prevalence of chronic health problems in truck drivers: CAD, DM, HTN, OSA, etc.

This is a wake up call for truckers, healthcare providers, insurance industry, public health professionals

Areas of improvement:

- Workplace modifications (APU, climate control, etc.)
- Administrative controls (e-logs)
- Preventive medicine (wellness exams, dietary and lifestyle coaching, healthy incentive programs, etc.)
 Regulatory aspects of the DOT physicals